



1724
PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|-----------------------|---|------------------|
| In re application of: | Hazlebeck et al. | Art Unit 1724 |
| Serial No.: | 09/982,986 | |
| Filed: | October 18, 2001 | |
| For: | SYSTEM AND METHOD FOR SOLIDS TRANSPORT IN HYDROTHERMAL PROCESSES | |
| Examiner: | Unknown | |
| Attorney Docket: | 11156.78 | |

TRANSMITTAL OF
INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner for Patents
Washington, D. C. 20231

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of the above-captioned application, or date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits whichever occurs last. 37 CFR 1.97(b).

The Commissioner is hereby authorized to charge payment of any necessary fees associated with this communication or credit any overpayment to Deposit Account No. 14-1519. A duplicate of this request is enclosed.

Respectfully submitted this 13th day of February, 2002


NEIL K. NYDEGGER
Attorney for Applicant
Registration No. 30,202

Transmitted:

Information Disclosure Statement; PTO Form 1449;
and 27 references.

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TC 1/100

PATENT

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In re application of: Hazlebeck et al.

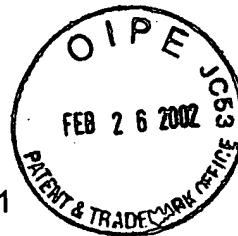
Serial No.: 09/982,986

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For: SYSTEM AND METHOD FOR SOLIDS
TRANSPORT IN HYDROTHERMAL PROCESSES

Examiner: Unknown

Attorney Docket: 11156.78



Hon. Commissioner for Patents
Washington, D. C. 20231

The following sections are being submitted for this Information Disclosure Statement:

1. Preliminary Statements
2. FORM PTO - 1449 (Modified)
3. Identification of Prior Application In Which Listed Information Was Already Cited and For Which No Copies are Submitted Or Need be Submitted.
4. Copies of Listed Information Items Accompanying This Statement.
5. Concise Explanation of Non-English Language Listed Information Items.
6. Translation(s) of Non-English Language Documents.
7. Certification Under 37 C.F.R. §1.97.(e).
8. Fee as required under 37 C.F.R §1.17(p).
9. Petition requesting consideration of Statement and Petition Fee as set forth in 37 C.F.R. §1.17(i)(1).
10. Identification of Person(s) Making This Information Disclosure Statement.

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Section 1. Preliminary Statements

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Section 4. Copies of Listed Information Items Accompanying This Statement.

Legible copies of all items listed below accompany this information statement.

| <u>Patent No.</u> | <u>Date</u> | <u>Name</u> | <u>Country</u> |
|-------------------|-------------|----------------------|----------------|
| 4,543,190 | 09/24/85 | Modell | USA |
| 4,822,497 | 04/18/89 | Hong et al. | USA |
| 5,100,560 | 03/31/92 | Huang | USA |
| 5,200,093 | 04/06/93 | Barnet et al. | USA |
| 5,252,224 | 10/12/93 | Modell et al. | USA |
| 5,358,645 | 10/25/94 | Hong et al. | USA |
| 5,387,398 | 02/07/95 | Mueggenburg et al. | USA |
| 5,427,764 | 06/27/95 | Barber | USA |
| 5,437,798 | 08/01/95 | LaRoche et al. | USA |
| 5,492,634 | 02/20/96 | Hong et al. | USA |
| 5,501,799 | 03/26/96 | Bond et al. | USA |
| 5,527,471 | 06/18/96 | Hong et al. | USA |
| 5,543,057 | 08/06/96 | Whiting et al. | USA |
| 5,545,472 | 08/13/96 | Koubek et al. | USA |
| 5,552,039 | 09/03/96 | McBrayer, Jr. et al. | USA |
| 5,558,783 | 09/24/96 | McGuinness | USA |
| 5,560,822 | 10/01/96 | Bond et al. | USA |
| 5,560,823 | 10/01/96 | Whiting | USA |
| 5,560,872 | 10/01/96 | Rahman et al. | USA |
| 5,571,423 | 11/05/96 | Daman | USA |
| 5,571,424 | 11/05/96 | Ahluwalia | USA |
| 5,591,415 | 01/07/97 | Dassel et al. | USA |
| 5,620,606 | 04/15/97 | McBrayer, Jr. et al. | USA |
| 5,667,698 | 09/16/97 | Whiting | USA |
| 5,670,040 | 09/23/97 | Ahluwalia | USA |
| 5,674,405 | 10/07/97 | Bourhis et al. | USA |
| 6,054,057 | 04/25/00 | Hazlebeck et al. | USA |

Section 10. Identification of Person(s) Making this Information Disclosure Statement.

The person making this statement is

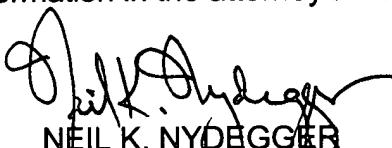
(a) the inventor(s) who signs below

SIGNATURE OF INVENTOR

Name of Inventor

(b) X the attorney who signs below on the basis of:

X the information supplied by the inventor(s)
 an individual associated with the filing and prosecution of this application (37 CFR 1.56(c))
X the information in the attorney's file



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Examiner: Unknown)
Attorney Docket: 11156.78)

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

I hereby certify that this Information Disclosure Statement (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Hon. Commissioner for Patents, Washington, D. C. 20231, with sufficient postage as first class mail pursuant to 37 CFR 1.8(a) on this 12th day of February, 2002.


TARYL L. ANDERSEN
Administrator